NOV 0 5 2004

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FAX COVER SHEET

Date:

November 4, 2004

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U.S. Patent and Trademark Office

From:

David J. McKenzie

Re:

09/689,488

Number of Pages including cover: 4

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03-872-9306, on November _____, 2004

Attomey for Applicant

PATENT Client No. SJO0-00-044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kevin F. Smith

Serial No.:

09/689,488

Group Art Unit: 2186

Filed:

10/12/2000

For:

PRESCHEDULING SEQUENTIAL DATA

PREFETCHES IN A PREEXISTING LRU CACHE

Examiner:

Zhuo H. Li

SUBMISSION OF FEES

Honorable Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully submits the attached fees for the above reference patent application, and requests that this case be promptly released for examination by Examiner Li. In the event any questions or concerns remain, the Examiner is respectfully requested to initiate a telephone conference with the undersigned.

Respectfully submitted,

David J. McKenzie Reg. No. 46,919

Attorney for Applicant

Date: November 4, 2004

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Approved for use through 9/30/05. OMB 0851-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/689,488 **FEE TRANSMITTAL Filing Date** October 12, 200 Kevin F. Smith First Named Inventor Group Art Unit 2188 Note: Effective October 1, 2004. Patent fees are subject to annual revision. Examiner Name Zhuo H. Li SJ00-00-044 \$778 **Attorney Docket Number** TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
1. X The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated less and credit any over payments to:	Large	Large Entity Small Entity				
Deposit Account Number: 09-0466	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: IBM CORPORATION	1051	130	2051		Surcharge - late filing fee or oath	
Charge Any Additional Charge the Isaue Fee In 37 CFR at the Mailing Of the Notice of Allowance		50	2052	25	Surcharge - late provisional filing fee or cover sheet	
		130	1053	130	Non-English specification	
	1812	2520	1812	2520	For filling a request for reexamination	
2. Payment Enclosed:	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	-
☐ Check ☐ Money Order ☐ Other	1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. FILING FEE	1252	430	2252	215	Extension for reply within second month	320
1. 1121110122	1253	980	2253	490	Extension for reply within third month	
Large Entity Small Entity	1254	1530	2254	765	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Pald	1255	2080	2255	1040	Extension for reply within fifth month	
Code (\$) Code (\$)	1401	340	2401	170	Notice of Appeal	
1001 790 2001 395 Utility filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1002 350 2002 175 Design filing fee	1403	300	2403	150	Request for oral hearing	
1003 550 2003 275 Plant filing fee	1451	1510	1451	1510	Petition to institute a public use proceeding	
1004 790 2004 395 Relasue filing fee	1452	110	2452	55	Petition to revive -unavoidably	
1005 160 2005 80 Provisional filing fee	1453	1370	2453	685	Petition to revive - unintentional	
SUBTOTAL (1) \$0	1501	1370	2501	685	Utility issue fee	
2. CLAIMS Fee from	1602	490	2502	245	Design Issue fee	
Extra below Fee Paid	1503	680	2503	330	Plant Issue fee	
Total Ctalms -20 = 1 x 18 = 18	1460	130	1460	130	Petitions to the Commissioner	
Ind. Claims 7 -3 = 6 x 88 = 440	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dep. Claims 0 x 300 = 0	1806	180	1808	180	Submission of Information Disclosure Strnt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Description Code (\$) Code (\$)	1801	790	2801	395	Request for continued examination (RCE) (37 CFR 1.114)	
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1810	790	2810	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 88 2204 44 Releasue independent claims over original patent 1205 18 2205 9 Releasue claims in excess of 20 and over original patent	Other fee	Other fee (specify)				
SUBTOTAL (2) 458	*Reduced by Basic Filing Fee SUBTOTAL (3) \$ 320				320	
					<u> </u>	

SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name	David J. McKenzie	Reg. Number	46,919	
Signature	David Milion Date Nov 4, 2004	Deposit Account User ID		

Burden Hour Statement: This form is estimated to take 0 2 2000 to complete. Time will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.